

Exhibit A SCOPE OF WORK

TECHNICAL TASK LIST

Task #	CPR	Task Name
1	N/A	Administration
2		Develop Ramp Event Studies
3	X	Develop and Demonstrate a Wind Ramp Event Predictor and Situational Awareness Tool
4		Technology Transfer

KEY NAME LIST

Task #	Key Personnel	Key Subcontractor(s)	Key Partner(s)
1	C.P. van Dam – UC Davis	None	CAISO
2	C.P. van Dam – UC Davis	None	CAISO
3	C.P. van Dam – UC Davis	None	CAISO
4	C.P. van Dam – UC Davis	None	CAISO

GLOSSARY

Acronym	Definition
CAISO	California Independent System Operator
CPR	Critical Project Review
Energy Commission	California Energy Commission
NWS	National Weather Service
PIER	Public Interest Energy Research
RD&D	Research, Development & Demonstration
RFQ	Request For Qualification
RPS	Renewables Portfolio Standard
TAC	Technical Advisory Committee
UC	University of California
UCC.1	Uniform Commercial Code (Financing Statement)

Problem

The California Independent System Operator (CAISO) has a need for a wind forecast product that provides grid operators with advance warning of situations in which there is

a high probability of a large change in wind power production over a relatively short time period. These types of events are commonly called “wind ramps”. This type of information will be useful to CAISO on multiple look-ahead time scales but the greatest need currently appears to be for information on the time scale of 0 to 6 hours ahead. The need for this type of information is anticipated to become more urgent within 2 to 3 years due to the anticipated large expansion of wind power production capacity in the Tehachapi Wind Resource Area over the next 3 to 5 years. Therefore, it is desirable to have a large ramp alert system that is fully operational and well tested in 2012.

This effort proposes an approach to address the need by developing and implementing a wind ramp event prediction system that is optimized to predict wind ramps in CAISO’s control area. The region-specific weather regimes in the Tehachapi, San Geronio, Altamont, and Solano Wind Resource Areas will be the primary areas of focus. These areas, and other wind resource regions in California, are being studied due to the unique cause of wind ramps when compared to wind generation areas in other parts of North America.

Goals of the Agreement

The goal of this agreement is to better predict short term wind events and provide more accurate information to the grid operators regarding these events.

Objectives of the Agreement

The objectives of this agreement are to answer, among others, the following questions:

- What defines a wind ramp event?
- What atmospheric conditions will most likely cause a ramp event?
- When are ramp events most likely to affect the grid?
- What is the best way to measure ramp forecast accuracy?
- What situational display tools are best to present upcoming ramp events?
- How far in the future can a ramp event be predicted?

The answers to the above questions will then be used to develop a wind ramp event forecast tool for the renewable desk operators. This forecast will give the operators notice of a sudden change of power will occur with some level of confidence that the event will occur. For instance, the tool will indicate there is an 80 percent chance that a front with winds gusting to 50 mph will pass through the Solano Wind Resource Area within the next 45 minutes. This tool will enhance the operator’s decision-making process for procurement of ancillary services, unit commitment and dispatch.

TASK 1.0 ADMINISTRATION

MEETINGS

Task 1.1 Attend Kick-off Meeting

The goal of this task is to establish the lines of communication and procedures for implementing this Agreement.

The Contractor shall:

- Attend a “kick-off” meeting with the Energy Commission Contract Manager, the Contracts Officer, and a representative of the Accounting Office. The Contractor shall bring their Project Manager, Contracts Administrator, Accounting Officer, and others designated by the Energy Commission Contract Manager to this meeting. The administrative and technical aspects of this Agreement will be discussed at the meeting. Prior to the kick-off meeting, the Energy Commission Contract Manager will provide an agenda to all potential meeting participants.

The administrative portion of the meeting shall include, but not be limited to, the following:

- Terms and conditions of the Agreement
- CPRs (Task 1.2)
- Match fund documentation (Task 1.7)
- Permit documentation (Task 1.8)

The technical portion of the meeting shall include, but not be limited to, the following:

- The Energy Commission Contract Manager’s expectations for accomplishing tasks described in the Scope of Work;
- An updated Schedule of Deliverables
- Progress Reports (Task 1.4)
- Technical Deliverables (Task 1.5)
- Final Report (Task 1.6)
- Establish the TAC (Task 1.10) TAC Meetings (Task 1.11)

The Energy Commission Contract Manager shall designate the date and location of this meeting.

Contractor Deliverables:

- An Updated Schedule of Deliverables
- An Updated List of Match Funds
- An Updated List of Permits
- Schedule for Recruiting TAC Members

Energy Commission Contract Manager Deliverables:

- Final Report Instructions

Task 1.2 CPR Meetings

The goal of this task is to determine if the project should continue to receive Energy Commission funding to complete this Agreement and if it should, are there any modifications that need to be made to the tasks, deliverables, schedule or budget.

CPRs provide the opportunity for frank discussions between the Energy Commission and the Contractor. CPRs generally take place at key, predetermined points in the Agreement, as determined by the Energy Commission Contract Manager and as shown in the Technical Task List above and in the Schedule of Deliverables. However, the Energy Commission Contract Manager may schedule additional CPRs as necessary, and, if necessary, the budget will be reallocated to cover the additional costs borne by the Contractor, but the overall contract amount will not increase.

Participants include the Energy Commission Contract Manager and the Contractor, and may include the Energy Commission Contracts Officer, the Public Interest Energy Research (PIER) Program Team Lead, other Energy Commission staff and Management as well as other individuals selected by the Energy Commission Contract Manager to provide support to the Energy Commission.

The Energy Commission Contract Manager shall:

- Determine the location, date and time of each CPR meeting with the Contractor. These meetings generally take place at the Energy Commission, but they may take place at another location.
- Send the Contractor the agenda and a list of expected participants in advance of each CPR. If applicable, the agenda shall include a discussion on both match funding and permits.
- Conduct and make a record of each CPR meeting. One of the outcomes of this meeting will be a schedule for providing the written determination described below.
- Determine whether to continue the project, and if continuing, whether or not to modify the tasks, schedule, deliverables and budget for the remainder of the Agreement, including not proceeding with one or more tasks. If the Energy Commission Contract Manager concludes that the project needs a formal amendment or that satisfactory progress is not being made and the project needs to be ended, these conclusions will be referred to the Energy Commission's Research, Development and Demonstration Policy Committee for its concurrence.
- Provide the Contractor with a written determination in accordance with the schedule. The written response may include a requirement for the Contractor to revise one or more deliverable(s) that were included in the CPR.

The Contractor shall:

- Prepare a CPR Report for each CPR that discusses the progress of the Agreement toward achieving its goals and objectives. This report shall include recommendations and conclusions regarding continued work of the projects. This report shall be submitted along with any other deliverables identified in this Scope of Work. Submit these documents to the Energy Commission Contract Manager and

any other designated reviewers at least 15 working days in advance of each CPR meeting.

- Present the required information at each CPR meeting and participate in a discussion about the Agreement.

Contractor Deliverables:

- CPR Report(s)
- CPR deliverables identified in the Scope of Work

Energy Commission Contract Manager Deliverables:

- Agenda and a List of Expected Participants
- Schedule for Written Determination
- Written Determination

Task 1.3 Final Meeting

The goal of this task is to closeout this Agreement.

The Contractor shall:

- Meet with the Energy Commission to present the findings, conclusions, and recommendations. The final meeting must be completed during the closeout of this Agreement.

This meeting will be attended by, at a minimum, the Contractor, the Energy Commission Contracts Officer, and the Energy Commission Contract Manager. The technical and administrative aspects of Agreement closeout will be discussed at the meeting, which may be two separate meetings at the discretion of the Energy Commission Contract Manager.

The technical portion of the meeting shall present findings, conclusions, and recommended next steps (if any) for the Agreement. The Energy Commission Contract Manager will determine the appropriate meeting participants.

The administrative portion of the meeting shall be a discussion with the Energy Commission Contract Manager and the Contracts Officer about the following Agreement closeout items:

- What to do with any state-owned equipment (Options)
- Need to file UCC.1 form re: Energy Commission's interest in patented technology
- Energy Commission's request for specific "generated" data (not already provided in Agreement deliverables)
- Need to document Contractor's disclosure of "subject inventions" developed under the Agreement
- "Surviving" Agreement provisions, such as repayment provisions and

- confidential deliverables
- Final invoicing and release of retention
- Prepare a schedule for completing the closeout activities for this Agreement.

Deliverables:

- Written documentation of meeting agreements and all pertinent information
- Schedule for completing closeout activities

REPORTING

See Exhibit D, Reports/Deliverables/Records.

Task 1.4 Quarterly Progress Reports

The goal of this task is to periodically verify that satisfactory and continued progress is made towards achieving the research objectives of this Agreement.

The Contractor shall:

- Prepare progress reports which summarize all Agreement activities conducted by the Contractor for the reporting period, including an assessment of the ability to complete the Agreement within the current budget and any anticipated cost overruns. Each progress report is due to the Energy Commission Contract Manager within 10 working days after the end of the reporting period. Attachment A-2, Progress Report Format, provides the recommended specifications.

Deliverables:

- Quarterly Progress Reports

Task 1.5 Test Plans, Technical Reports and Interim Deliverables

The goal of this task is to set forth the general requirements for submitting test plans, technical reports and other interim deliverables, unless described differently in the Technical Tasks. When creating these deliverables, the Contractor shall use and follow, unless otherwise instructed in writing by the Energy Commission Contract Manager, the latest version of the PIER Style Manual published on the Energy Commission's web site:

<http://www.energy.ca.gov/contracts/pier/contractors/index.html>

The Contractor shall:

- Unless otherwise directed in this Scope of Work, submit a draft of each deliverable listed in the Technical Tasks to the Energy Commission Contract Manager for review and comment in accordance with the approved Schedule of Deliverables. The Energy Commission Contract Manager will provide written comments back to the Contractor on the draft deliverable within 10 working days of receipt. Once

agreement has been reached on the draft, the Contractor shall submit the final deliverable to the Energy Commission Contract Manager. The Energy Commission Contract Manager shall provide written approval of the final deliverable within 5 working days of receipt. Key elements from this deliverable shall be included in the Final Report for this project.

Task 1.6 Final Report

The goal of this task is to prepare a comprehensive written Final Report that describes the original purpose, approach, results and conclusions of the work done under this Agreement. The Energy Commission Contract Manager will review and approve the Final Report. The Final Report must be completed on or before the termination date of the Agreement. When creating these deliverables, the Contractor shall use and follow, unless otherwise instructed in writing by the Energy Commission Contract Manager, the latest version of the PIER Style Manual published on the Energy Energy Commission's web site:

<http://www.energy.ca.gov/contracts/pier/contractors/index.html>

The Final Report shall be a public document. If the Contractor has obtained confidential status from the Energy Commission and will be preparing a confidential version of the Final Report as well, the Contractor shall perform the following subtasks for both the public and confidential versions of the Final Report.

Task 1.6.1 Final Report Outline

The Contractor shall:

- Prepare a draft outline of the Final Report.
- Submit the draft outline of Final Report to the Energy Commission Contract Manager for review and approval. The Energy Commission Contract Manager will provide written comments back to the Contractor on the draft outline within 10 working days of receipt. Once agreement has been reached on the draft, the Contractor shall submit the final outline to the Energy Commission Contract Manager. The Energy Commission Contract Manager shall provide written approval of the final outline within 5 working days of receipt.

Deliverables:

- Draft Outline of the Final Report
- Final Outline of the Final Report

Task 1.6.2 Final Report

The Contractor shall:

- Prepare the draft Final Report for this Agreement in accordance with the approved

outline.

- Submit the draft Final Report to the Energy Commission Contract Manager for review and comment. The Energy Commission Contract Manager will provide written comments within 10 working days of receipt.

Once agreement on the draft Final Report has been reached, the Energy Commission Contract Manager shall forward the electronic version of this report for Energy Commission internal approval. Once the approval is given, the Energy Commission Contract Manager shall provide written approval to the Contractor within 5 working days.

- Submit one bound copy of the Final Report with the final invoice.

Deliverables:

- Draft Final Report
- Final Report

MATCH FUNDS, PERMITS, AND ELECTRONIC FILE FORMAT

Task 1.7 Identify and Obtain Matching Funds

The goal of this task is to ensure that the match funds planned for this Agreement are obtained for and applied to this Agreement during the term of this Agreement.

The costs to obtain and document match fund commitments are not reimbursable through this Agreement. While the PIER budget for this task will be zero dollars, the Contractor may utilize match funds for this task. Match funds shall be spent concurrently or in advance of PIER funds during the term of this Agreement. Match funds must be identified in writing, and the associated commitments obtained before the Contractor can incur any costs for which the Contractor will request reimbursement.

The Contractor shall:

- Prepare a letter documenting the match funding committed to this Agreement and submit it to the Energy Commission Contract Manager at least 2 working days prior to the kick-off meeting:
 1. If no match funds were part of the proposal that led to the Energy Commission awarding this Agreement and none have been identified at the time this Agreement starts, then state such in the letter.
 2. If match funds were a part of the proposal that led to the Energy Commission awarding this Agreement, then provide in the letter:
 - A list of the match funds that identifies the:
 - Amount of each cash match fund, its source, including a contact name,

address and telephone number and the task(s) to which the match funds will be applied.

- Amount of each in-kind contribution, a description, documented market or book value, and its source, including a contact name, address and telephone number and the task(s) to which the match funds will be applied. If the in-kind contribution is equipment or other tangible or real property, the Contractor shall identify its owner and provide a contact name, address and telephone number, and the address where the property is located.
- A copy of the letter of commitment from an authorized representative of each source of cash match funding or in-kind contributions that these funds or contributions have been secured.
- Discuss match funds and the implications to the Agreement if they are significantly reduced or not obtained as committed, at the kick-off meeting. If applicable, match funds will be included as a line item in the progress reports and will be a topic at CPR meetings.
- Provide the appropriate information to the Energy Commission Contract Manager if during the course of the Agreement additional match funds are received.
- Notify the Energy Commission Contract Manager within 10 working days if during the course of the Agreement existing match funds are reduced. Reduction in match funds may trigger an additional CPR.

Deliverables:

- A letter regarding Match Funds or stating that no Match Funds are provided
- Letter(s) for New Match Funds
- A copy of each Match Fund commitment letter
- Letter that Match Funds were Reduced (if applicable)

Task 1.8 Identify and Obtain Required Permits

The goal of this task is to obtain all permits required for work completed under this Agreement in advance of the date they are needed to keep the Agreement schedule on track.

Permit costs and the expenses associated with obtaining permits are reimbursable under this Agreement. Permits must be identified in writing before the Contractor can incur any costs related to the use of the permit(s) for which the Contractor will request reimbursement.

The Contractor shall:

- Prepare a letter documenting the permits required to conduct this Agreement and submit it to the Energy Commission Contract Manager at least 2 working days prior to the kick-off meeting:
 1. If there are no permits required at the start of this Agreement, then state such in the letter.
 2. If it is known at the beginning of the Agreement that permits will be required during the course of the Agreement, provide in the letter:
 - A list of the permits that identifies the:
 - Type of permit
 - Name, address and telephone number of the permitting jurisdictions or lead agencies
 - Schedule the Contractor will follow in applying for and obtaining these permits.
- The list of permits and the schedule for obtaining them will be discussed at the kick-off meeting, and a timetable for submitting the updated list, schedule and the copies of the permits will be developed. The implications to the Agreement if the permits are not obtained in a timely fashion or are denied will also be discussed. If applicable, permits will be included as a line item in the progress reports and will be a topic at CPR meetings.
- If during the course of the Agreement additional permits become necessary, then provide the appropriate information on each permit and an updated schedule to the Energy Commission Contract Manager.
- As permits are obtained, send a copy of each approved permit to the Energy Commission Contract Manager.
- If during the course of the Agreement permits are not obtained on time or are denied, notify the Energy Commission Contract Manager within 5 working days. Either of these events may trigger an additional CPR.

Deliverables:

- A letter documenting the Permits or stating that no Permits are required
- Updated list of Permits as they change during the Term of the Agreement
- Updated schedule for acquiring Permits as it changes during the Term of the Agreement
- A copy of each approved Permit

Task 1.9 Electronic File Format

The goal of this task is to unify the formats of electronic data and documents provided to the Energy Commission as contract deliverables. Another goal is to establish the computer platforms, operating systems and software that will be required to review and approve all software deliverables.

The Contractor shall:

- Deliver documents to the Energy Commission Contract Manager in the following formats:
 - Data sets shall be in Microsoft (MS) Access or MS Excel file format.
 - PC-based text documents shall be in MS Word file format.
 - Documents intended for public distribution shall be in PDF file format, with the native file format provided as well.
 - Project management documents shall be in MS Project file format.
- Request exemptions to the electronic file format in writing at least 90 days before the deliverable is submitted.

Deliverables:

- A letter requesting exemption from the Electronic File Format (if applicable)

TAC

Task 1.10 Establish the TAC

The goal of this task is to use an advisory committee for this Agreement.

The TAC should be composed of diverse professionals. The number can vary depending on potential interest and time availability. The exact composition of the TAC may change as the need warrants. TAC members serve at the discretion of the Energy Commission Contract Manager.

The TAC may be composed of qualified professionals spanning the following types of disciplines:

- Researchers knowledgeable about the project subject matter
- Members of the trades who will apply the results of the project (e.g., designers, engineers, architects, contractors, and trade representatives)
- Public Interest Market Transformation Implementers
- Product Developers relevant to project subject matter
- U.S. Department of Energy Research Manager
- Public Interest Environmental Groups
- Utility Representatives
- Members of the relevant technical society committees

The purpose of the TAC is to:

- Provide guidance in research direction. The guidance may include scope of research; research methodologies; timing; coordination with other research. The guidance may be based on:
 - technical area expertise
 - knowledge of market applications
 - linkages between the agreement work and other past, present or future research (both public and private sectors) they are aware of in a particular area.
- Review deliverables. Provide specific suggestions and recommendations for needed adjustments, refinements, or enhancement of the deliverables.
- Evaluate tangible benefits to California of this research and provide recommendations, as needed, to enhance tangible benefits.
- Provide recommendations regarding information dissemination, market pathways or commercialization strategies relevant to the research products.

The Contractor shall:

- Prepare a draft list of potential TAC members that includes name, company, physical and electronic address, and phone number and submit it to the Energy Commission Contract Manager at least 2 working days prior to the kick-off meeting. This list will be discussed at the kick-off meeting and a schedule for recruiting members and holding the first TAC meeting will be developed.
- Recruit TAC members and ensure that each individual understands the member obligations described above, as well as the meeting schedule outlined in Task 1.11.
- Prepare the final list of TAC members.
- Submit letters of acceptance or other comparable documentation of commitment for each TAC member.

Deliverables:

- Draft List of TAC Members
- Final List of TAC Members
- Letters of acceptance, or other comparable documentation of commitment for each TAC Member

Task 1.11 Conduct TAC Meetings

The goal of this task is for the TAC to provide strategic guidance to this project by participating in regular meetings or teleconferences.

The Contractor shall:

- Discuss the TAC meeting schedule at the kick-off meeting. The number of face-to-face meetings and teleconferences and the location of TAC meetings shall be determined in consultation with the Energy Commission Contract Manager. This

draft schedule shall be presented to the TAC members during recruiting and finalized at the first TAC meeting.

- Organize and lead TAC meetings in accordance with the schedule. Changes to the schedule must be pre-approved in writing by the Energy Commission Contract Manager.
- Prepare TAC meeting agenda(s) with back-up materials for agenda items.
- Prepare TAC meeting summaries, including recommended resolution of major TAC issues.

Deliverables:

- Draft TAC Meeting Schedule
- Final TAC Meeting Schedule
- TAC Meeting Agenda(s) with Back-up Materials for Agenda Items
- Written TAC meeting summaries, including recommended resolution of major TAC issues

TECHNICAL TASKS

The Contractor shall prepare all deliverables in accordance with the requirements in Task 1.5. Deliverables not requiring a draft version are indicated by marking “(no draft)” after the deliverable name.

Task 2 Develop Ramp Event Studies

The goal of this task is to analyze available wind plant data to define a wind ramp event and its associated atmospheric conditions. Once a wind ramp event and associated atmospheric conditions are defined, this effort will evaluate the most informative ways to present these upcoming conditions to the grid operators.

The Contractor shall:

- Study the frequency of wind ramp events at various thresholds, regions, time of day and seasons from the CAISO database.
- Compile weather statistics associated with the ramp events.
- Determine atmospheric conditions that contributed to the ramps.
- Establish metrics to quantify forecast accuracy and improvement methodology.
- Gather design input from operational personnel for a preferred wind ramp event situational display.
- Based on the input gathered from the operational personnel, evaluate graphical area climate situational maps/displays highlighting anticipated wind events.
- Prepare a report describing:
 - Wind ramp event definition
 - Historical wind ramp events
 - Atmospheric conditions during wind ramp occurrence
 - Definition of error metrics

Deliverables:

- Ramp Event Study Report (no draft)

Task 3 Develop and Demonstrate a Wind Ramp Event Predictor and Situational Awareness Tool

The goal of this task is to select a subcontractor who will develop and demonstrate a methodology to accurately predict wind ramp events and an effective situational awareness tool for electric power grid operators. The subcontractor will be selected through a competitive bidding process. The selected subcontractor will develop, evaluate, and demonstrate a wind ramp forecasting methodology and a situational awareness tool.

The Contractor shall:

- Develop a Request for Qualification (RFQ) solicitation that includes necessary background information, tasks, deliverables, and timelines.
- Develop scoring criteria for selecting the winning submission.
- Review and issue a final RFQ.
- Perform technical analysis of bids for vendor selection.
- Include in the Quarterly Progress Report solicitation results that describe the number of applications, and the successful bidder.
- Conduct a kick-off meeting with the subcontractor and provide notes from the meeting in the Quarterly Progress Report.
- Participate in a CPR as per Task 1.2.
- Evaluate the accuracy of the metrics established in Task 2.
- Customize a National Weather Service (NWS) forecast to deliver wind ramp forecast.
- Develop a Prototype Graphical Display for a Wind Ramp Events based on operator's feedback.
- Develop a wind ramp event forecasting technique methodology. Submit a summary of the methodology to the Energy Commission Contract Manager.
- Improve forecasting methodology based on data evaluation.
- Modify forecast parameters.
- Customize/tune the NWS model to include a rapid update cycle configuration.
- Interview grid operators for project effectiveness and improvements.
- Prepare, develop and demonstrate a Wind Ramp Event Predictor,
- Prepare a Situational Awareness Tool Report

Deliverables:

- CPR Report
- Prototype Graphical Display for Wind Ramp Events (no draft)
- Wind ramp event forecasting technique methodology (no draft)
- Wind Ramp Event Predictor
- Prepare a Situational Awareness Tool Report (no draft)

Task 4 Technology Transfer Activities

The goal of this task is to develop a plan to make the knowledge gained, experimental results and lessons learned available to key decision-makers.

The Contractor shall:

- Prepare a Technology Transfer Plan. The plan shall explain how the knowledge gained in this project will be made available to the public. The level of detail expected is least for research-related projects and highest for demonstration projects. Key elements from this report shall be included in the Final Report for this project.
- Conduct technology transfer activities in accordance with the Technology Transfer Plan. These activities shall be reported in the Quarterly Progress Reports.

Deliverables:

- Technology Transfer Plan